

Aligning Corporations with the Sustainable Development Goals

September 25, 2019 Columbia University

Panelist Biographies

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The Columbia Center on Sustainable Investment (CCSI), a joint center of Columbia Law School and the Earth Institute at Columbia University, is a leading research center and forum dedicated exclusively to the study, practice and discussion of sustainable international investment (SII) worldwide. Through research, advisory projects, multi-stakeholder dialogue and educational programs, CCSI constructs and implements an investment framework that promotes sustainable development, builds trusting relationships for long-term investments, and is easily adopted by governments, companies and civil society. http://ccsi.columbia.edu.

Swedish Ministry of the Environment

The Ministry of the Environment is responsible for the Government's environmental and climate policy. The Ministry works on issues concerning the climate, biological diversity, chemicals, ecocycles, nature and forest conservation, marine and water environments, radiation safety and international environmental cooperation. <u>https://www.government.se</u>

Consulate General of Sweden in New York

The Consulate General of Sweden in New York, opened in 2015, focuses on the promotion of Sweden in a broad sense, including trade and investment, cultural and consular affairs. Annika Rembe is Consul General and Head of Mission. https://www.swedenabroad.se

Swiss Agency for Development and Cooperation (SDC)

The Swiss Agency for Development and Cooperation (SDC) is the agency for international cooperation of the Federal Department of Foreign Affairs (FDFA). The SDC is responsible for the overall coordination with other federal authorities of development and cooperation with Eastern Europe as well as for humanitarian aid delivered by the Swiss Confederation. Swiss International Cooperation, which is an integral part of the Federal Council's foreign policy, aims to contribute to a world without poverty and in peace, for sustainable development. It fosters economic self-reliance and state autonomy, contributes to the improvement of production conditions, helps address environmental problems, and ensures better access to education and basic healthcare services. https://www.eda.admin.ch/sdc

Panelist Biographies

<u>Speakers</u> Diana Best

Senior Finance Campaigner, The Sunrise Project

Diana Best has over a decade of organizing and campaigning experience. Before joining the Sunrise Project team, she worked with Greenpeace USA to reform federal fossil fuel leasing policies, stop critical new fossil fuel infrastructure projects like export terminals and pipelines, and engage global financial institutions to restrict lending to new oil projects. She works with a coalition of institutions and partner organizations to move BlackRock's more than \$6 trillion business away from climate and forest destroying investments. She is a graduate of Vassar College and lives in Denver, Colorado.

Emily Chasan

Sustainable Finance Editor, Bloomberg

Emily Chasan is the Sustainable Finance Editor at Bloomberg News. She writes about trends in sustainable and impact investing, as well as corporate responsibility and governance. She edits Bloomberg's weekly "Good Business" newsletter which provides actionable insights for investors and corporate leaders on sustainability. She was previously a senior editor at The Wall Street Journal's CFO Journal, and a senior correspondent at Reuters where she covered accounting, law, hedge funds, manufacturing, and the U.S. stock market. She led the wire service's team of bankruptcy reporters during the financial crisis from 2008 to 2010. She has won two Front Page Awards from the Newswomen's Club of New York and in 2012 was named to the National Association of Corporate Directors' "Directorship 100" list of people most influential on corporate boards of directors. Emily graduated from Tufts University cum laude with a degree in Economics and International Relations. You can follow her on twitter @echasan.

Henrik Henriksson

President and CEO, Scania Group

Henrik Henriksson joined Scania 1997. Two decades later he was appointed President and CEO. Entrepreneurial at heart, he joined Scania to explore global business and has stayed thanks to Scania's strong customer focus, the delegated responsibility and the constant aim to do better. The transport industry accounts for a significant share of global CO₂emissions, and is one of the issues Agenda 2030 has to tackle. His passion and fierce advocacy for sustainability has become a beacon in the shift towards a fossil free transport system. He is an advisor to the Swedish Government and part of their delegation for the Agenda 2030; the UN's sustainable development goals. He is also a board member of the Association of Swedish Engineering Industries. He leads Scania from Sweden where the political commitment to decarbonize, power of innovation and competition within a strong heavy commercial vehicle cluster has enabled significant progress. With a new defined purpose for the company, he continues to position Scania as a role model in decarbonizing the heavy commercial vehicle sector. He is a proud father of two young girls who spur him and who he considers the true judges of his legacy!

Lise Johnson Head: Investment Law and Policy, Columbia Center on Sustainable Investment

Lise Johnson leads the Columbia Center on Sustainable Investment's work on investment law and policy. Her work at CCSI centers on analyzing the contractual, legislative, and international legal frameworks governing international investment, and shaping the impacts that those investments have on sustainable development objectives. She focuses in particular on analyzing international investment treaties and the investor-state arbitrations that arise under them, examining the implications those treaties and cases have for host countries' domestic policies and development strategies. In addition, she concentrates on key institutional and procedural aspects of the legal framework, including efforts to increase transparency in and legitimacy of investor-state dispute settlement. She has a B.A. from Yale University, J.D. from University of Arizona, LL.M. from Columbia Law School, and is admitted to the bar in California.

Anthea Kelsick

Chief Marketing Officer, B Lab

Anthea Kelsick is the Chief Marketing Officer at B Lab. As a marketing and brand strategist who pivoted her expertise to the world of B Corps and business for good, she maintains an obsession with connecting business problems to the consumer experience. Today she is driven to help redefine success in business by balancing profit with purpose. She comes to B Lab by way of global digital agency R/GA where she was Executive Strategy Director leading a cross-functional team of strategy, analytics and media experts to help transform brands. In her 15 years in the business, she's led strategic engagements for global brands across sectors, including PepsiCo, Nestle, Unilever, P&G and Mondelez. When she's not at work, she is usually out for a run or (more often than not) running after her two kids at home just outside of New York City.

Isabella Lövin

Minister for Environment and Climate, Deputy Prime Minister, the Kingdom of Sweden

Isabella Lövin is the Deputy Prime Minister of Sweden. She is also Minister for International Development Cooperation and Climate and co-chair of the International Dialogue on Peace-building and State-building. She is a former member of the European Parliament for the Green Party with positions in the committees of Environment, Development and Fisheries. Isabella is the recipient of many environmental prizes and was awarded the Fair Politician of the Year prize in 2012, from Fair Politics EU, for her efforts to make the European Union's Common Fisheries Policy more fair towards developing countries. Until 2009, she was an acclaimed journalist and authored the book "Silent Seas — the Fish Race to the Bottom."

Carolina Ocampo-Maya

Project Lead: Food Sector and the Sustainable Development Goals, Columbia Center on Sustainable Investment

Carolina Ocampo-Maya leads CCSI's work on aligning the food sector with the Sustainable Development Goals. She has over fourteen years of experience designing, implementing and measuring sustainability strategies in industries such as agro-industry, foods and beverages, financial, and extractive in multiple Latin-American countries. Her areas of expertise include rural development, the food system, gender equality, and ESG (environment, social, governance) standards implementation and measurement. Prior to joining the Center, she worked in private corporations that include PepsiCo, Teck Resources Limited, and Itaú; she is also a specialty coffee producer in Colombia. She studied Psychology and Philosophy at the University of Massachusetts in Boston. She has an MBA and Master of Management from Tulane University and Universidad Icesi (Colombia) and a Master of Public Administration in Development Practice from Columbia University in the City of New York. She is also Board Member of Fundación Tiempo de Juego and Fundación FANA in Colombia, Chairwoman of Profamilia Colombia and its representative to IPPF Western Hemisphere Region.

Tim Mohin

Chief Executive, Global Reporting Initiative

Tim Mohin is the Chief Executive of GRI, developer of the world's most widely used sustainability reporting standards. A veteran in the field of corporate sustainability reporting, He is responsible for driving GRI's mission to empower decisions that create social, environmental and economic benefits for everyone. Prior to his appointment as Chief Executive, he was Senior Director of Corporate Responsibility for Advanced Micro Devices (AMD). He is also a former Chairman of the Board for the Electronic Industry Citizenship Coalition (EICC) and former member of the Conflict Free Sourcing Initiative's steering committee. Previously, he founded and led Apple's Supplier Responsibility program. He also led Intel's environmental and sustainability functions. He started his career with the US government. With the Environmental Protection Agency, he led the development of the toxics provisions of the Clean Air Act Amendments. Later, he was senior legislative staff for the Chairman of Senate Committee on Environment and Public Works. He earned a Bachelor's degree in Biology from the State University of New York at Cortland and a Master's degree in Environmental Management from Duke University.

Jan Moström

President & CEO, LKAB

Jan Moström is President and CEO of LKAB. He has been involved in the mining sector for the last 40 years, and has worked at LKAB since 2015. LKAB is currently running several programs aiming to be carbon dioxide-free by 2045, including Hybrit and Sustainable Underground Mining (SUM), which will create a new world standard for autonomous, electrified, digitalized and carbon dioxide-free mining. Previously, he was Head of the mining division at Boldien. He is also currently serving as chairman of Svemin, the Swedish mining industries organization. He has a degree in Mining and Civil Engineering from Bergskolan in Filipstad, Sweden.

Bartlett Naylor

Financial Policy Advocate, Public Citizen

Bartlett Naylor is an expert on corporate governance, financial markets and shareholder rights. He is currently a financial policy advocate in the Congress Watch division of Public Citizen. He also currently sits as Chair on the AFR Task Forces on executive compensation and systemic risk. He has worked as a consultant for Capital Strategies Consulting, Inc., director of the Office of Corporate Affairs for Teamsters Union and chief of investigations for the U.S Senate Banking Committee. He has been quoted in *The Wall Street Journal, The New York Times, The Washington Post, The Huffington Post, The Hill, The Washington Times*, Dow Jones, among other media. He is the author of *TOO Big, a Public Citizen Blueprint for Wall Street Reform*.

Luiza Nunes Ferreira Junqueira

Senior Sustainability Analyst, B3

Luiza Nunes Ferreira Junqueira is Senior Sustainability Analyst at B3. She graduated in International Relations with an emphasis in Marketing and Business, specializing in Sustainability & Corporate Social Responsibility and in Business Sustainability Management. She has 8 years' experience in the sustainability field, working at B3 (the Brazilian Stock Exchange) and at CDP (formerly Carbon Disclosure Project) headquarters in London. She has accumulated extensive international experience while studying and working in countries such as Brazil, England, the United States, Italy and Uganda.

Martin Pei

Executive Vice President & CTO, SSAB, Initiator and Chair of Development, HYBRIT-Project

Martin Pei is Executive Vice President and Chief Technical Officer of SSAB, as well as Chairman of the Board of Hybrit Development AB, the joint venture owned by SSAB, LKAB, and Vattenfall, working to develop the technology for fossil free steel. He has been employed at SSAB since 2001 and has previously held positions as Head of business area SSAB APAC, Chief Technical Officer of SSAB, and General Manager of Slab Production at SSAB Special Steel Division. He is also a board member on the Swedish company Jernkontoret, the Swedish research institute SWERIM, and a member of the TECO committee, within the World Steel Association. He has his PhD from the Royal Institute of Technology, Stockholm and is a member of the Royal Swedish Academy of Engineering Sciences (IVA).

Fiona Reynolds

Chief Executive Officer, UN Principles for Responsible Investment

Fiona Reynolds is the CEO of the Principles for Responsible Investment (PRI), a U.N. supported organisation, and the major global organisation for responsible investment practices and leadership and the integration of environmental, social and governance issues across the investment chain. The PRI's mission is to create a sustainable global financial system and the organisation aims to bring responsible investors together to work towards creating sustainable financial markets that contribute to a more prosperous world for all. Appointed in 2013, Fiona has 25 years' experience in the financial services and pension sector, she joined the PRI from Australian Institute of Superannuation Trustees (AIST), where she spent 7 years as the CEO. Fiona also serves on the Board of the UN Global Compact, is a member of the International Integrated Reporting Council (IIRC), the Global Advisory Council on Stranded Assets at Oxford University, the UN Business for Peace Steering Committee, the Global Steering Committee for the investor agenda on climate action and the Steering Committee for Climate Action 100+ which is the largest ever investor engagement with listed companies. Fiona has been a member of the UK Government Green Finance Taskforce.

Gabe Rissman

Co-founder and President, Stake

Gabe Rissman is the Co-Founder Real Impact Tracker, which scores the social impact of investment funds, and Yourstake.org, which helps institutional and individual investors participate more effectively in shareholder advocacy. Gabe is the former co-head of Yale's Dwight Hall Socially Responsible Investment Fund, which became the first undergraduate organization to file a shareholder resolution. Gabe graduated from Yale College with a B.S. in Physics.

Jeffrey Sachs

Director, Center for Sustainable Development, Columbia University

Jeffrey Sachs is the Director of the Center for Sustainable Development, Quetelet Professor of Sustainable Development, and Professor of Health Policy and Management at Columbia University. He is Special Advisor to United Nations Secretary-General Ban Ki-moon on the Millennium Development Goals, having held the same position under former UN Secretary-General Kofi Annan. He is Director of the UN Sustainable Development Solutions Network. He is co-founder and Chief Strategist of Millennium Promise Alliance, and is director of the Millennium Villages Project. He is also one of the Secretary-General's MDG Advocates, and a Commissioner of the ITU/UNESCO Broadband Commission for Development. He has authored several bestsellers including *The End of Poverty* (2005), *Common Wealth: Economics for a Crowded Planet* (2008), and *The Price of Civilization* (2011). His most recent books are *To Move the World: JFK's Quest for Peace* (2013) and *The Age of Sustainable Development* (2015).

Carlos Sallé Alonso

Senior Vice-President of Energy Policies and Climate Change, Iberdrola Carlos Sallé Alonso is Vice-President of Energy Policies and Climate Change in the Electricity company Iberdrola. Iberdrola, an integrated company, is one of the largest five companies in global market capitalization and is currently the biggest wind energy producer in the world, with one of the strongest climate change action plans. He is an Industrial Engineer from the ETSII (School of Industrial Engineering) in the Universidad Politécnica de Madrid. He worked in areas related to system operation in Red Eléctrica de España (the Spanish Transmission System Operator). He was Director of Electricity at the energy regulator in Spain. He was also a member of the management team in charge of launching and setting up the Market Operator Company, as Operations Manager. He worked in the consultancy firm NERA as Associate Manager for the Energy Area. In 2001 he joined IBERDROLA Group as Regulation Vice President, and in 2014 was appointed as Vice- President of Energy Policies and Climate Change directly reporting to the Chairman. Carlos is Member of the Business Advisory Board in the Public-Private-Partnership unit at UNECE (Geneva) dealing with Sustainable Development Goals. He was one of the founders of Energía Sin Fronteras, an NGO specialized in water and electricity projects in rural areas of non-developed countries. He is President of the Energy Commission at Spanish Commerce Chamber.

Aniket Shah

Senior Fellow, Columbia Center on Sustainable Investment and Senior Advisor, UN Sustainable Development Solutions Network

Aniket Shah is a development strategist and investment manager working on development finance initiatives around the world. He is a Senior Advisor at the UN Sustainable Development Solutions Network (SDSN), where he works with national governments, global financial institutions and non-profit organizations to finance the sustainable development agenda. Before working with the SDSN, he worked as an investment professional and strategist at Investec Asset Management, an international investment management firm based in South Africa and the United Kingdom. At Investec, he advised large institutional investors — both public and private — on developing long-term portfolio investment strategies with a focus in emerging markets and Africa. He has published books, reports and articles on sustainable development and financial markets. He is the lead author of the report *Africa and the United States – A Defining Relationship of the 21st Century* and co-edited *Learning from the World: New Ideas to Redevelop America* (Palgrave Macmillan).

Vicky Sins

Lead, Climate and Energy Benchmarks, World Benchmarking Alliance

Vicky Sins is responsible for leading the Climate and Energy Benchmark to support the decarbonisation of the economy and the Energy transition. She believes in private sector contribution and responsibility by demonstrating how companies in high carbon-emitting industries are contributing to the Paris Agreement and SDG 13, with the aim to enable the required transformation to a low carbon economy. Vicky joined WBA in 2019 after spending the previous 14.5 years working for ABN AMRO Bank and the previous 7 years with ABN AMRO Clearing Bank in charge of Energy & Commodity clients. Besides this, she was heading up the Corporate Social Responsibility strategy for ABN AMRO Clearing forcing industry dialogue to increase transparency to support safe and orderly capital markets. She was part of the Investor Working Group of the UN Sustainable Stock Exchange Initiative and instrumental in setting up partnerships between ABN AMRO Clearing and Frontclear (a developing country capital market infrastructure builder). She has a Master degree in Financial Management and is an alumni to the Prince of Wales' Cambridge Institute for Sustainable Leadership.

Raj Thamotheram

Founder & Chair, Preventable Surprises

Dr Raj Thamotheram is a pioneer in the field of long-term, sustainable investing. He is currently the Founder & Chair of Preventable Surprises, a think-tank and advocacy network that focuses on institutional investors. He has worked in the investment industry for 12 years in senior positions, first at a large UK pension fund (Universities Superannuation Scheme) and then with a global fund manager (AXA IM). At USS, he specialised in cutting edge collaborative stewardship projects - he was part of the core group that designed and launched the UN Principles of Responsible Investment and he was the founder/co-founder of the Institutional Investor Group on Climate Change (IIGCC) and PharmaFutures. At AXA, he initiated the strategy to mainstream ESG integration across all asset classes strategy ("RI Inside") and spearheaded the launch of the AXA Framlington Human Capital Fund. Global Proxy Watch named him "one of the 10 most influential figures in the corporate governance field" in 2004 and 2008, and he has been voted one of the top 10 "Most positive contributors to SRI & CG" in the Independent Research in Responsible Investment (global) poll in 2017 and again in 2018. He writes a monthly column for Investment Professionals Europe (IPE) called "Long Term Matters", lectures widely and is known for being the voice of "positive mavericks" in the ESG investment community.

Diana Tidd

Head of Index and Chief Responsibility Officer, MSCI

Diana Tidd, as Head of Index, is responsible for all aspects of product management and business strategy for the MSCI indexes. Diana is a member of MSCI's Executive Committee. Diana joined MSCI in 1999. During her tenure, Diana has served in a variety of roles, including Head of Americas Client Coverage for six years. More recently, she was appointed MSCI's Chief Responsibility Officer, a relatively new position where Diana will coordinate MSCI's Environmental, Social and Governance (ESG) strategy and activities. Diana also chairs MSCI's ESG Committee, MSCI's Index Risk and Regulatory Committee and is an advisor on the Executive Diversity Council at MSCI (which was launched in 2018).

Adam Zurofsky

Former Director of State Policy and Agency Management for the State of New York

Adam Zurofsky was, until May of 2019, the Director of State Policy and Agency Management for the State of New York. In that capacity, Adam led the development and implementation of all major policy initiatives for Governor Cuomo. Prior to that, Adam served as Deputy Secretary for Energy and Finance for the State of New York where he directly oversaw all policy and regulatory aspects of New York State's energy, climate, and finance portfolios as well as the following State agencies and authorities: the Department of Public Service (DPS), the New York Power Authority (NYPA), the Long Island Power Authority (LIPA), the New York State Energy Research and Development Authority (NYSERDA), including its New York Green Bank, the Department of Financial Services (DFS), including its Banking, Insurance and Consumer Protection divisions, the Department of Taxation and Finance, and the New York State Insurance Fund. Among other things, Adam was responsible for leading implementation of Governor Cuomo's climate agenda, including establishing the U.S. Climate Alliance of 25 states and territories committed to upholding the Paris Climate Accords and the decarbonization of the over \$200 billion New York Common Retirement Fund. Before joining the Cuomo administration, Adam was a partner in the New York law firm of Cahill Gordon and Reindel, LLP, where he advised leading companies, financial institutions and their boards in a wide variety of regulatory, litigation and corporate governance matters. He is a co-founder of Fordham Law School's Corporate Sustainability Initiative and has served as a member of Fordham's Adjunct Faculty, teaching on issues such as corporate social responsibility and impact/ESG investing.